PTO/SB/03 A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Paters and Trademark Office: U.S. Department of Commerce on unless it contains a wait OMH control number.

der the paperwork Restriction Act at 1993, no perioda acquirect		lete if Known
Substitute for form 1449A/PTO	Application No.	10/011,867
INFORMATION DISCLOSURE	Filing Date	December 5, 2001
STATEMENT BY APPLICANT	First Named Inventor	Clifton A. Alfamess et al.
(Use as many sheets as necessary)	Art Unit	3738
· .	Examiner Name	U. Chattopadhyay
Sheet 1 Of 4	Attorney Docket No.	29912-705.201

_	U.S. PATENT DOCUMENTS									
		Document No.								
Examiner's Inhials	Cite No. 1	Number-Kind Code <sup>1</sup> (if known)	Publication Date MN-DD-YYYY-	Name of Pateries or Applicant of Class Document	Pages, Columns, Lines, Where Relevant Pessages or Relevant Figures Appear					
IC		US-2001/0049558-A1	12/06/2001	Liddicont et al.	·					
		US-2002/0035361-A1	03/21/2002	Houser et al.						
		US-2002/0042621-A1	04/11/2002	Liddicost et al.						
		US-2002/0042651-A1	04/11/2002	Liddicost et al.	·					
		US-2002/0049468-A1	04/25/2002	Streeter et al.						
		US-2002/0055774-A1	05/09/2002	Liddicost						
		US-2002/0065554-A1	05/30/2002	Streeter						
		US-2002/0095167-A1	07/18/2002	Liddicost et al.						
		US-2002/0103533-A1	08/01/2002	Langberg et al.						
1/		US-2002/0138044-A1	09/26/2002	Streeter et al.						
$\neg \nabla$		US-2003/0018358-A1	01/23/2003	Saadat	ļ					

						ı
		Foreign Patent Document		•		
sminer's Initials	Citie No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>3</sup> , (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Column, Libes, Where Relevant Passages or Relevant Figures Appear	Ľ
10		WO 00/44313-A1	08/03/2000	Viacor Inc.		L
CAN !		WO 00/60995-A3	10/19/2000	EValve, Inc.		L
+		WO 01/00111-A1	01/04/2001	J. Solem et al.		L
+1		WO 01/50985-A1	07/19/2001	Viacor, Inc.		l
+ 1		WO 02/00099-A2	01/03/2002	Viacor, Inc.	L	l
+		WO 02/01999-A2	01/10/2002	Viacor, Inc.		1
+1		WO 02/05888-A1	01/24/2002	Vizcor, Inc.		L
+ 1		WO 02/19951-A1	03/14/2002	Viacor, Inc.		l
<del>/- /</del>		WQ,92/34118-A2	05/02/2002	Viacor, Inc.		1

SKINATURE:

| Machine | Ma

2404570\_1.DOC Attorney Docket No. 29912-705.201/



No.4400

PTO/SB/88 A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. Department of Commerce a unless is combine a wait of Auth America.

				Сотр	lete if Known
Substitute for form 1449A/PTO		Application No.	10/011,867		
INFORMATION DISCLOSURE				Filing Date	December 5, 2001
STATEMENT BY APPLICANT (Use as many sheets as necessary)		First Named Inventor	Clifton A. Alferness et al.		
		eeis as necessi	(ערש	Art Unit	3738
				Manufacture Manufacture	U. Chattopadhyay
	•			Examiner Name	U. Chanopadnyay

		U.S.	PATENT DO	CUMENTS	
		Document No.			
Examiner's Initials	Cite No. 1	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Paleonics or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
1110	·	US-4,055,861	11/01/1977	Carpentier et al.	
		US-4,164,046	08/14/1979	Cooley	
		US-4,485,816	12/04/1984	Krumme	
		US-4,550,870	11/05/1985	Krumpne et al.	·
		US-4,830,023	05/16/1989	de Toledo et a).	
		US-5,061,277	10/29/1991	Carpentier et al.	
		US-5,250,071	10/05/1993	Palenno	
		US-5,261,916	11/16/1993	Engelson	
		US-5,265,601	11/30/1993	Mehra	
		US-5,350,420	09/27/1994	Cosgrove et al.	·
		US-5,514,161	05/07/1996	Limousia	·
	1	US-5,554,177	09/10/1996	Kieval et al.	
		US-5,562,698	10/08/1996	Parker	·
		US-5,584,867	12/17/1996	Limousin	
	1.	US-5,601,600	02/11/1997	Ton	
7	1	US-5,733,325	03/31/1998	Robinson et al.	

	Foreign Patent Document				
Examiner's Cite	Country Code? - Number! - Kind Code! (if knows)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Colones, Lines, Where Relevant Passages or Relevant Pigures Appear	
7/1	 WO 02/47539-A2	06/20/2002	Viacor, Inc.		
100	 WO 02/Ø62270-A1	08/15/2002	J. Solom et al.		Т
-	 WO 02/978576-A2	10/10/2002	Viacor, Inc.		
-4/					T

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this formation member (optional) 2/3cc Kinds Codes of USPTO Patent Documents at www.asspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-liber-code (WDO Standardat 3). 4 For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

2404570\_1.DOC Attorney Docket No. 29912-705.201

PTO/SB/01A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. Department of Commerce

				Complete if Known		
ubstitute for form 1449A/PTO		Application No.	10/011,867			
INFORMATION DISCLOSURE				Filing Date	December 5, 2001	
STATEMENT BY APPLICANT.			First Named Inventor	Clifton A. Alferness et al.		
(Use a	ıs many sh	ects as nece	ssary).	Art Unit	3738	
•.				Examiner Name	U. Chattopadhyay	
Sheet	3	Of	. 4	Attorney Docket No.	29912-705.201	

		U.S.	PATENT DO	CUMENTS	
		Document No.			
Examiner's Initials		Number-Kind Code <sup>3</sup> (if known)	Publication Data MM-DD-YYYY	Name of Palentee or Applicant of Cited Document	Pages, Columns, Lines, Where Reinvant Passages or Relevant Figures Appear
110		US-5,824,071	10/20/1998	Nelson et al.	
		US-5,891,193	04/06/1999	Robinson et al.	
	ì	US-5,895,391	04/20/1999	Famholtz	
		US-5,908,404	06/01/1999	Elliott	
		US-5,935,161	08/10/1999	Robinson et al.	
		US-5,961,545	10/05/1999	Lentz et al.	
		US-5,984,944	11/16/1999	Forber	
		US-6,027,517	07/22/2000	Crocker et al.	
	· _	US-6,077,295	06/20/2000	Limon et al.	
		US-6,077,297	06/20/2000	Robinson et al.	·
		US-6,099,549	08/08/2000	Bosma et al.	· · · · · · · · · · · · · · · · · · ·
,		US-6,099,552	08/08/2000	Adams	
		US-6,129,755	10/10/2000	Mathis et al.	

FOREIGN PATENT DOCUMENTS							
	Poreign Patent Document .				1		
Exameses's Initials	-Cina No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>3</sup> (if hoows)	Publication Data MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	۳	
						_	
						L	
					<u> </u>	L	
	·		·	·			
						_	
		2			I	上	
EXAMINER SIGNATURE	3	Min matofine	m	CONSIDERED 3/	15/2004		

EXAMINER: Initial if reference considered, whether it not citation is in conformance with MPEP 609. Draw line through criation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional) 2 Secklinds Codes of USPTO Patent Documents at www.mspto.gov or MPEP 901.04. 3 Enter Office that issued the document, but two-letter code (NPPO Standard ST, 2). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor mass precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible. 6 Applicant is to place a check mark here if English language Translation is furnished.

2404570\_1.DOC

Attorney Docket No. 29912-705:201

PTO/SB/02A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. Department of Commerce
tion unless it contains a valid ONB control number.

U.S. Patent and Trademark Office: U.S. Department of Under the paperwork Reduction Act of 1995, no persons required to respond to a collection of information unless it contains a valid QMB control number.

				. Comp	lete if Known
Substitute for form 1449A/PTO		Application No.	10/011,867		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	December 5, 2001		
		First Named Inventor	Clifton A. Alferness et al.		
(Use	as many sh	eets as nec	essary)	Art Unit	3738
				Examiner Name	U. Chattopadhyay
Sheet	4	10	4	Attorney Docket No.	29912-705.201

		U.S.	PATENT DO	CUMENTS	
		Document-No.			
Examiner's Initials	Che No. 1	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Waere Relevant Passages or Relevant Figures Appear
IC		US-6,190,406-B1	02/20/2001	Duerig et al.	
		US-6,275,730-B1	08/14/2001	KenKnight et al.	
		US-6,342,067-B1	01/29/2002	Mathis et al.	
		US-6,352,553-B1	03/05/2002	van der Burg et al.	
		US-6,352,561-B1	03/05/2002	Leopold et al.	
		US-6,395,017-B1	05/28/2002	Dwyer et al.	
		US-6,419,696-B1	07/16/2002	Ortiz et al.	
		US-6,442,427-B1	08/27/2002	Boute et al.	
		US-6,503,271-B2	01/07/2003	Duerig et al.	
		US-6,537,314-B2	03/25/2003	Langberg et al.	
		US-6,589,208-B2	07/08/2003	Ewers et al.	
		US-6,602,288-B1	08/05/2003	Cosgrove et al.	
		US-6,602,289-B1	08/05/2003	Colvin et al.	
		US-6,623,521-B2	09/23/2003	Steinke et al.	
V		US-6,626,899-B2	09/30/2003	Houser et al.	

FOREIGN PATENT DOCUMENTS								
Examiner's Cite Initials No.1		Foreign Patent Document						
	Country Code <sup>5</sup> - Number <sup>6</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentos or Applicant of Cited Document	Pagez, Columns, Lines, Where Relevant Panagus or Relevant Figures Appear	T			
		·				F		
		A. A.				上		
EXAMINER SIGNATURE		In tratogene		DATE CONSIDERED 3	15/2004			

EALMINEAL INDEX IN PRINCE CONTINUED, SPEED IN CLIENTING IN CONFORMANCE WITH MIPER 609, EVEN INC. through citation if upt in conformance and not considered. Include copy of this form with part communication to applicant.

Applicant's unique clienton destination number (glatomal) 2 Spe Kinds Chors of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code CVPO Standard ST. 3). 4 Fod Japanese patent documents, the indication of the year of the reign of the Emperor must precede the sortal number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is anached.

2404570\_1.DOC Attorney Docket No. 29912-705.201